



Conrad

1765

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Sinha et al. Group Art Unit: 1765  
Serial No.: 10/028,040 Examiner: D. Deo  
Filed: December 21, 2001 Docket No.: 150.01140101  
Confirmation No.: 2997  
Title: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES  
USING COMPLEXING AGENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

An itemized return postcard.  
 A Petition for Extension of Time for \_\_\_ month(s) and a check in the amount of \$\_\_\_ for the required fee.  
 A Supplemental Information Disclosure Statement (2 pgs); copies of 1 applications; 1449 forms (2 pgs); and copies of 26 documents cited on the 1449 forms.  
 **Please charge Deposit Account No. 13-4895 in the amount of \$180.00 for the required Information Disclosure Statement Fee.**  
 A certified copy of a \_\_\_ application, Serial No. \_\_\_, filed \_\_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.  
 Other:  
 Amendment \_\_\_ No Additional fee is required. \_\_\_ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$86 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$290 Here →					
Total Additional Claim Fees Required					

**Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.**

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 6 day of January, 2004.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

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(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



**PATENT**

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SINHA et al. ) Group Art Unit: 1765  
Serial No.: 10/028,040 ) Examiner: D. DEO  
Confirmation No.: 2997 )  
Filed: December 21, 2001 )  
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING  
SURFACES USING COMPLEXING AGENTS

## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

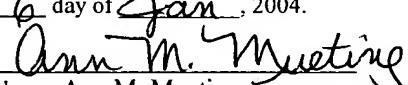
Applicants also wish to bring the Examiner's attention to the following pending U.S. Application, as well as any documents, Office Actions that may include rejections of similar claims, and any provisional U.S. patent applications referenced in the pending U.S. applications or in their file wrappers. A copy of the below-listed pending U.S. Patent Application is provided herewith.

**List of Pending Non-Published U.S. Patent Applications**

Applicant(s)	Application Number	Filing Date	Serial No. of Provisional Application to which listed Application claims priority
Westmoreland	10/314,887	12/09/02	

Since this Information Disclosure Statement is submitted after the receipt of an Office Action in the above-identified patent application, please charge Deposit Account No. 13-4895 in the amount of \$180 under 37 C.F.R. §§1.97(c) and 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-4895.

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:
The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this <u>6</u> day of <u>Jan</u> , 2004.  Name: Ann M. Muetting

Respectfully submitted for  
**SINHA et al.**  
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Jan. 6, 2004  
Date

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AMM/seo



<b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.:150.0114 0101	Serial No.: 10/028,040
	Applicant(s): SINHA et al.	Confirmation No.: 2997
	Application Filing Date: 12/21/01	Group: 1765
	Information Disclosure Statement mailed: January <u>6</u> , 2004	

## U.S. PATENT DOCUMENTS

Examiner Initial	Copies Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	4,035,500	07/12/77	Dafter, Jr.			
	X	4,297,436	10/27/81	Kubotera et al.			
	X	4,670,306	06/02/87	Salem			
	X	5,378,492	01/03/95	Mashiko			
	X	5,480,854	01/02/96	Rajaram et al.			
	X	6,015,506	01/18/00	Streinz et al.			
	X	6,110,830	08/29/00	Skrovan et al.			
	X	6,143,192	11/07/00	Westmoreland			
	X	6,278,153	08/21/01	Kikuchi et al.			
	X	6,451,214	09/17/02	Westmoreland			
	X	6,527,622	03/04/03	Brusic et al.			
	X	6,537,462	03/25/03	Westmoreland			
	X	2001/0006031 A1	07/05/01	Tsuchiya et al.			
	X	2001/0023701 A1	09/27/01	Aoki et al.			
	X	2002/0008265 A1	01/24/02	Beitel et al.			
	X	2002/0019088 A1	02/14/02	Basceri et al.			
	X	2002/0050322 A1	05/02/02	Kunisawa et al.			
	X	2002/0081853 A1	06/27/02	Beitel et al.			
	X	2003/0121891 A1	07/03/03	Westmoreland			

EXAMINER	Date Considered
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\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.:150.0114 0101	Serial No.: 10/028,040
	Applicant(s): SINHA et al.	Confirmation No.: 2997
	Application Filing Date: 12/21/01	Group: 1765
	Information Disclosure Statement mailed: January <u>6</u> , 2004	

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Copies Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	EP 1 111 083	06/27/01	Europe (U.S. Equivalent US2002/0008265 A1)				
	X	EP 1 156 091	11/21/01	Europe (U.S. Equivalent US 2002/0017063 A1)				
	X	EP 1 123 956	08/16/01	Europe				
	X	WO 00/77107	12/21/00	PCT (U.S. Equivalent US 2002/0081853 A1)				
	X	WO 02/084718	10/24/02	PCT				
	X	JP 2000 200782	07/18/02	Japan (English Abstract Included)				

### OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copies Enclosed	Document Description
	X	Webster's II, New Riverside University Dictionary, 1984: pgs. 258, 842

<b>EXAMINER</b>	<b>Date Considered</b>
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\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.